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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/735,841	12/12/2003	Lap-Wai Chow	B-4425NP 621267-1

Richard P. Berg, ESQ.
 c/o LADAS & PARRY
 Suite 2100
 5670 Wilshire Boulevard
 Los Angeles, CA 90036-5679



CONFIRMATION NO. 3188

FORMALITIES LETTER



OC000000012161128

Date Mailed: 03/23/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$86 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$986** for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

04/14/2004 MBERHE 00000040 10735841

01 FC:1001	770.00 OP
02 FC:1051	130.00 OP
03 FC:1201	86.00 OP

- Total additional claim fee(s) for this application is **\$86**

- \$86 for 1 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

KSAMSON

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Lap-Wai CHOW,
et al.

Group Art Unit: unknown

Serial No.: 10/735,841

Examiner: unknown

Filed: December 12, 2003

Our Ref.: B-4425NP 621267-1

For: "INTEGRATED CIRCUIT
MODIFICATION USING WELL IMPLANTS"

Date of this paper:
April 6, 2004

MAIL STOP MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated 03/23/2004 (copy attached).

DECLARATION OR OATH

- [X] Please find enclosed an original Declaration/Power signed by the inventor, James P. Baukus. It should be noted that an original Declaration/Power of Attorney signed by the inventors, Lap-Wai Chow, William M. Clark, Jr., and Gavin J. Harbison was filed concurrently with the subject application on 12/12/03.

AMENDMENT OF CLAIMS

- [] Please cancel claims _____ inclusive
- [] Please find enclosed an amendment deleting multiple dependencies

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

FEES

[X] Filing fee (37 CFR 1.16(a) - 770.00)	<u>\$770.00</u>
(small entity - 385.00)	
[X] Fees for claims	
[1] Independent claim in excess of 1	
(37 CFR 1.16(b) - 86.00)	
(small entity - 43.00)	<u>\$86.00</u>
[0] Claims in excess of 20	
(37 CFR 1.16(c) - 18.00)	
(small entity - 9.00)	<u> </u>

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

MAIL STOP MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Katherine Nieva

(Typed or printed name of person mailing paper)

Date: April 6, 2004

Katherine Nieva

(Signature of person mailing paper)

Completion of Filing Requirements (Page 1 of 2)

☐ Multiple dependent claim(s)
(37 CFR 1.16(d) - 260.00) _____
(small entity - 130.00) _____

☒ Surcharge fees

☒ Late payment of filing fee
(37 CFR 1.16(e) - 130.00) _____
(small entity - 65.00) _____

AND

☒ Late filing of declaration or oath
(37 CFR 1.16(e) - 130.00) _____ \$130.00
(small entity - 65.00) _____

☐ Fee for filing English translation of
application belatedly (37 CFR 1.17 (k)) _____

☐ Recording assignment
(37 CFR 1.21(h) (i) 40.00) _____

☐ Attached is an assignment to _____

Total fees: _____ \$986.00

SMALL ENTITY STATUS

☐ A verified statement that this filing is by a small entity is

☐ Attached ☐ Already filed on _____
W/cover sheet

PAYMENT OF FEES

☒ Enclosed is a check in the amount of \$986.00.

☐ Charge Account No. 12-0415 in the amount of _____.
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

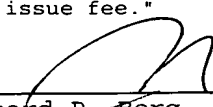
☒ The Commissioner is hereby authorized to charge the following additional fees which may be required or credit any overpayment to Account No. 12-0415.

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires, "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application... prior to paying... issue fee."



Richard P. Berg
Attorney for the Applicant
Registration No.: 28,145
c/o LADAS & PARRY
5670 Wilshire Boulevard, Suite 2100
Los Angeles, California 90036-5679

Telephone No.: (323) 934-2300
Facsimile No.: (323) 934-0202